

# Organosilane modified Zr-based conversion layer on Zn-Al alloy coated steel sheets

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## Abstract

Zirconium based conversion layers are deliberated as environmentally friendly alternatives replacing classical trication phosphatation and chromate-based passivation layers in the automotive and coil-coating industry. Based on excellent electronic barrier properties they provide an effective corrosion protection of the metallic substrate. To investigate the influence of organofunctional silanes on the protection properties of these pre-treatment systems, 3-glycidoxypropyltrimethoxysilane ( $\gamma$ -GPS) was added to the aqueous model conversion solution containing well-defined amounts of hexafluorozirconic acid ( $\text{H}_2\text{ZrF}_6$ ). The surface composition of these modified conversion layers were determined using X-ray photoelectron spectroscopy (XPS), infrared reflection absorption spectroscopy (FT-IRRAS) and confocal Raman microscopy (CRM). Scanning Kelvin Probe (SKP) measurements were obtained on organic coated samples in order to investigate the corrosive cathodic delamination process in more detail.

*Keywords:*  $\text{ZrO}_2$ , zinc alloy coated steel sheets, conversion layer, organofunctional silanes, corrosion protection

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## 1 **1. Introduction**

2 Well-established pre-treatment systems for metal substrates based on chromates [1-3] and  
3 phosphates [4,5] are used to provide excellent adhesion properties for organic coatings and  
4 improve the corrosion resistance of the underlying metal substrates. However, there are  
5 serious disadvantages of these pre-treatment systems such as their inefficient process  
6 parameters, their grave ecological impact and their unacceptable toxicity [6]. As a  
7 consequence, the use of hexavalent chromate passivation (Cr(VI)) has been restricted and  
8 internationally banned (e.g. REACH, RoHS) [7, 8]. Recently, new environmentally  
9 acceptable pre-treatment systems were developed. In particular zirconium based conversion  
10 coatings are promising alternatives to substitute detrimental pre-treatment technologies in the  
11 field of metal finishing [9-12]. In the coil-coating and automotive industry predominantly  
12 hexafluorozirconic acid ( $\text{H}_2\text{ZrF}_6$ ) [13-25] is used to form the conversion coating on the metal  
13 substrate. Different studies showed that the applied conversion coating mostly consists of  
14  $\text{ZrO}_2$ , fluorine and small quantities of the metallic substrate [22,23,25,26].

15 The formation mechanism of Zr-based protection layers was elucidated for different metallic  
16 substrates [13,16,21,25,26]. The precipitation of the conversion layer generally requires an  
17 anodic dissolution of the metallic substrate and a subsequent cathodic alkalization of the  
18 electrolyte in close proximity to the sample surface. Recent studies reported that the formation  
19 of the ultra-thin conversion layers, which typically range from 5 to 150 nm, highly depends  
20 on the microstructure of the alloy composition, which mainly determines the electrochemical  
21 behaviour of the metal surfaces [18,26,27]. Nordlien et al. showed that the preferred  
22 deposition of the  $\text{ZrO}_2$  layer on aluminium alloys occurred on and around intermetallic  
23 (nano)particles (IMP) due to specific cathodic reactions such as hydrogen evolution and  
24 oxygen reduction, resulting in a reduced activity of the IMPs due to  $\text{ZrO}_2$  precipitation [15].  
25 The formation mechanism of a protective Zr-based conversion layer on a steel substrate

1 coated with a thin layer of a Zn-Al-Mg alloy was demonstrated by Lostak et al. [26]. They  
2 used a set of sophisticated EDX, AFM and SKPFM experiments to show that the ZrO<sub>2</sub>  
3 deposition starts on Zn-rich phases (local cathodes). With longer immersion times the  
4 precipitation of the conversion layer starts on Mg-rich phases and covers subsequently the  
5 complete surface.

6 Various electrochemical investigations (electrochemical impedance spectroscopy  
7 measurements, *in situ* height-regulated scanning Kelvin probe studies [22], potentiodynamic  
8 polarization experiments [25]) clearly showed superior corrosion protection properties of  
9 zirconium based conversion layers on a wide range of alloys [22-26]. In addition, decreased  
10 kinetics of the cathodic delamination of organic coatings applied on Zn-Al alloy surfaces  
11 were observed [22]. However, this pre-treatment technology will not replace the established  
12 phosphating systems in the automotive industry as long as the requested performance is  
13 missed. Promising approaches are the combination of inorganic conversion coatings with an  
14 adhesion-promoting layer [28-31]. Different authors showed that the corrosive delamination  
15 process can significantly be reduced due to the use of appropriate coupling reagents. Recent  
16 studies show that the corrosion protection of such systems can be improved if a composite is  
17 deposited on the metallic substrate prior to lacquers process. The concept behind such an  
18 interface modification is the combination of an insulating layer (usually a conversion  
19 coating), in the sense of an electronic transfer barrier, with a suitable adhesion promoter for a  
20 covalent bond to the following organic coating. Schinkinger et al. deposited ultrathin  
21 nanoscaled silica like layers using combustion chemical vapour deposition (CCVD) on zinc  
22 coated steel as an interface layer between the metallic substrate and the following organic  
23 coating [29,30]. The barrier properties and coverage of these thin SiO<sub>2</sub>-layers were proven by  
24 atomic force microscopy (AFM) and cyclic voltammetry (CV), demonstrating that 99%  
25 coverage of the substrate can be achieved in very short deposition times. The authors  
26 modified the interface with an ultra-thin 3-glycidoxypropyltrimethoxysilane ( $\gamma$ -GPS) adhesion

1 promoting film (5-10 nm) to achieve the performance of a chromate conversion coating. The  
2 surface analytical characterization of the applied films was performed with infra-red reflection  
3 absorption spectroscopy (FT-IRRAS) and X-ray photoelectron spectroscopy (XPS). A similar  
4 approach was followed by Wapner et al. [28,31]. They modified the adhesive / metal interface  
5 with two different kinds of ultra-thin layers:

6 (1) HMDS/O<sub>2</sub> SiO<sub>x</sub>-like plasma polymer layers to inhibit the electron transfer at the interface  
7 to prevent the oxygen reduction reaction and

8 (2) 3-(trimethoxysilyl)-propylamine ( $\gamma$ -APS) layer to form a stable covalent bond to the epoxy  
9 resin adhesive.

10 The authors proved the very good corrosion protection performance of such systems by using  
11 electrochemical methods (*in situ* height-regulated scanning kelvin probe studies,  
12 potentiodynamic polarization experiments).

13 In this study, the influence of organofunctional silanes on the protection properties of ultra-  
14 thin Zr-based conversion layers is investigated in detail. For this purpose, hot dipped  
15 galvanized steel sheets (HDG) were treated in an aqueous model conversion solution  
16 containing well-defined amounts of hexafluorozirconic acid. 3-  
17 glycidoxypropyltrimethoxysilane ( $\gamma$ -GPS) was chosen as coupling agent. Two different  
18 application processes were compared: one coating step procedure (only one coating solution  
19 containing H<sub>2</sub>ZrF<sub>6</sub> and  $\gamma$ -GPS - denoted as VBH-E) and two coating step procedure  
20 (subsequent two different coating solutions, H<sub>2</sub>ZrF<sub>6</sub> and  $\gamma$ -GPS - denoted as VBH-S). The  
21 surface of the resulting conversion coatings was investigated by X-ray photoelectron  
22 spectroscopy (XPS), infrared reflection absorption spectroscopy (FT-IRRAS) and confocal  
23 Raman microscopy (CRM). Scanning Kelvin Probe (SKP) measurements were performed on

- 1 organic coated specimens in order to characterize the corrosive cathodic delamination
- 2 process.
- 3

## 1 **2. Experimental**

### 2 *2.1 Materials and sample preparation*

3 Samples of line produced skin-passed hot dip galvanized steel blanks with a diameter of 5 cm  
4 (ThyssenKrupp Steel Europe AG) were used. The galvanized layer contains 99,84 % Zn and  
5 1.16 % Al by weight. To ensure reproducible clean surfaces, all samples were degreased  
6 using an automated cleaning system (WESERO GmbH, Germany). The cleaning procedure  
7 contains following steps:

8 Step 1 - Ridoline<sup>®</sup> C72 (Henkel KGaA, Germany), 8 g/l, 70 ± 5 °C, pH 11 (cleaning time: 8 s)

9 Step 2 - Ridoline<sup>®</sup> 1340 (Henkel KGaA, Germany), 16-20 g/l, 70 ± 5 °C, pH 9.9 (cleaning  
10 time: 8 s)

11 Step 3 - Rinsing with deionised water (50 °C,  $\kappa = \leq 4 \mu\text{S/cm}$ )

12 Step 4 - Drying in warm stream of air

### 13 *2.2 Conversion layer deposition*

14 The deposition of the conversion layer by using a conversion solution which contains 1  
15 mmol/l hexafluorozirconic acid ( $\text{H}_2\text{ZrF}_6$ ) solution was performed directly after degreasing of  
16 the substrates. The pH value of the conversion solution was adjusted to pH 4 using  
17 ammonium bicarbonate ( $\text{NH}_4\text{HCO}_3$ ) as buffer agent. The  $\gamma$ -GPS solution was prepared from  
18 an aqueous solution (pH 4, adjusted with acetic acid) with 1 wt. %  $\gamma$ -GPS. Prior to the coating  
19 procedure, the solution was stirred for 3 hours to complete the hydrolysis reaction. Immersion  
20 of the samples was performed under solution stirring (300 rpm) at ambient temperature  
21 ( $T \sim 20 \text{ }^\circ\text{C}$ ). After finishing the pre-treatment procedure, all substrates were rinsed with  
22 ultrapure water ( $T \sim 20 \text{ }^\circ\text{C}$ ,  $\kappa \leq 0,005 \mu\text{S/cm}$ ) and dried in a stream of nitrogen gas.  
23 Subsequent, the silane films were cross-linked for 15 minutes at 100 °C. The composition of

1 the different conversion solutions and the used application parameters are summarized in  
2 table 1.

### 3 *2.3 Preparation of organic coated samples for HR-SKP measurements*

4 For the investigation of cathodic delamination, 50% of the surfaces of alkaline cleaned  
5 samples were masked with an adhesive tape before immersing in the different pre-treatment  
6 solutions. After the pre-treatment procedure (see 2.2) the uncoated zinc surface was  
7 uncovered again by pulling off the adhesive tape. Afterwards, a commercial two component  
8 lacquer (Glasurit ®) with an isocyanate hardener (MS-Clear 923-155, BASF Coatings AG,  
9 Germany) was applied by spin coating (Primus STT115, Fa. ATM Vision, Germany) at 4500  
10 rpm for 10 s producing a film thickness of about 4-5  $\mu\text{m}$  on the hot dipped galvanized steel  
11 sheets. Subsequent, the polymer films were cross-linked for 20 minutes at 60 °C. Lastly, an  
12 5 wt.% NaCl electrolyte was added to the uncoated defect area to start the cathodic  
13 delamination process. This specific sample preparation made it possible to investigate two  
14 different interfaces in a single HR-SKP measurement. The used preparation procedure was  
15 adapted from Wapner and Grundmeier [28] and is more detailed described in an essay from  
16 Klimow et al. [32].

### 17 *2.4 Surface analytical techniques*

#### 18 *2.4.1 FT-IRRAS*

19 All obtained infrared reflection absorption spectra of the applied conversion layers were  
20 measured using a FTS 3000 Spectrometer (Digilab, Germany). The spectrometer was  
21 constantly purged with dried nitrogen and equipped with a DTGS (deuterated triglycine  
22 sulphate) detector. All spectra were recorded using a resolution of 4  $\text{cm}^{-1}$  and originate from  
23 co-addition of 512 single scans. The mid infrared beam is guided by a set of mirrors and  
24 transmitted through ZnSe window onto the sample and reflected under 80° to the detector.

### 1 2.4.2 Confocal Raman microscopy

2 Raman spectroscopic studies were carried out on an Alpha 300 R confocal Raman microscope  
3 (WITec GmbH, Germany). All spectra were recorded with a 488 nm Ar<sup>+</sup>-laser (Spectra  
4 Physics, USA) as excitation source on vertical polarization (CCD-Detector). The laser power  
5 was set at 32 mW. The exposure time was between 10-20 s for each spectrum to inhibit any  
6 damage of the used laser to the sample. If not otherwise indicated, IR spectra were recorded  
7 through a 600 lines/mm grating. The imaging of the collected scans was performed through  
8 WiTec Project® (Version 2.10).

### 9 2.4.3 XPS

10 The chemical compositions of the conversion layers were determined using a Quantum 2000  
11 ESCA Microprobe (Physical Electronics Inc., USA). All spectra were obtained using a  
12 monochromated Al K<sub>α</sub>-beam with a spot size of 100x100 μm. The take-off angle of the  
13 detected photoelectrons was 45° to the surface normal. The C1s peak (binding energy  
14 of 284.8 eV) was used as internal reference for all spectra. The quantification of all elements  
15 was performed on the basis of survey spectra. Depth profiling was performed using Ar<sup>+</sup> ion  
16 sputtering with an acceleration voltage of 2 kV and sputter rate of 8.5 nm/min. A silicon  
17 wafer containing a well-defined oxide layer of known thickness was used for depth  
18 calibration. The fitting of the measured XPS spectra was performed through the CasaXPS  
19 software 2.3.15 (Casa Software).

### 20 2.5 HR-SKP measurements

21 The cathodic delamination experiments were performed with a height regulated Scanning  
22 Kelvin Probe (SoftControl, Germany). Prior to HR-SKP experiments organically coated  
23 samples were pre-exposed in humid atmosphere to remove any electrostatic charging of the  
24 surface. A steady gas flow rate in the SKP chamber was kept during the measurements to

1 ensure a constant atmosphere with a defined relative humidity (> 90% r.H.). A NiCr needle  
2 with a tip diameter of 100  $\mu\text{m}$  was used for all measurements. The calibration of the NiCr  
3 needle was accomplished by measuring and referring to a known Cu/CuSO<sub>4</sub> electrode. All  
4 potentials measured with the Scanning Kelvin Probe are with respect to the Standard  
5 Hydrogen Electrode (SHE). For statistical reasons each measurement was repeated three  
6 times.

### 7 **3. Results and discussion**

#### 8 *3.1 Surface analytical studies of silane-modified conversion coatings*

9 X-ray photoelectron spectroscopy was applied to investigate the general composition of the  
10 different conversion layers. The concentration of the chemical elements on the surface of the  
11 conversion layer is summarized in table 2. These studies confirmed the typical composition of  
12 these layers, which consist of small quantities of metallic zinc (~5-11 at.%), fluorine (~2-  
13 3 at.%), zirconium (~5-16 at.%) and oxygen (~50-55 at.%) [25,26]. The silicon (~5-9 at.%)  
14 and carbon (~ 17-22 at.%) content results from the  $\gamma$ -GPS in the conversion coating.  
15 Moreover, it cannot be excluded that a certain proportion of the carbon and oxygen content  
16 originate from oxygen containing organic adsorbents present at the conversion coating  
17 surface. The associated XPS survey spectra of the different conversion layer are shown in  
18 figure 1. The different levels of the various elements indicate a varying layer structure of the  
19 two different application procedures.

20 To obtain a deeper insight into the layer structure of the different pre-treatment systems, XPS  
21 depth profiles were recorded. Fig. 2 shows the XPS sputter profile of the sequentially coated  
22 sample (VBH-S). As expected [25,26], the thickness of the applied layers is in the nanometer  
23 range. Nonetheless, the trend of the Zr3d- and Si2p-signal clearly shows the defined layer  
24 structure of the conversion coating. While the Si2p-signal drops to about 3-5 nm, the Zr3d

1 signal reaches its maximum between 4-5 nm and then decreases gradually, while the Zn2p3  
2 signal begins to rise from the metallic zinc coating. The sputter profile of the one step coated  
3 specimen is presented in fig. 3. In contrast to the sequentially coated sample, no defined layer  
4 structure was observed. Both the Zr3d- and Si2p-signal show a parallel course over the whole  
5 layer. To obtain more accurate information about the molecular structure of the adherent  
6 conversion coatings, IRRAS and Raman imaging measurements were performed.

7 The infrared spectra of the both samples are shown in fig. 4. Both spectra show bands of  
8 medium-to-strong intensity between 1200-800  $\text{cm}^{-1}$ . The line at 1113  $\text{cm}^{-1}$  can be assigned to  
9 the Si-O-Si deformation vibration, which is caused by the hydrolysis and reaction of  $\gamma$ -GPS  
10 on the steel surface. These values agree with vibration bands reported by Grundmeier et al. (~  
11 1162  $\text{cm}^{-1}$ ) [45]. The vibration band at 923  $\text{cm}^{-1}$  can be assigned to free Si-OH groups,  
12 proving the presence of unreacted molecules on the sample surface. That is further confirmed  
13 by the presence of C-H stretching vibrations of  $\text{CH}_3$  groups between 3000-2800  $\text{cm}^{-1}$ , which  
14 are still bound to the used siloxane. Si-O-Zr vibrations, that indicate the reaction and linking  
15 of  $\gamma$ -GPS to  $\text{ZrO}_2$  on the surface, are supposed to appear in the range of 980-930  $\text{cm}^{-1}$ .  
16 Ivanova et al. reported similar bands at 980  $\text{cm}^{-1}$  corresponding to Si-O-Zr vibrations [34].  
17 Due to the broad band assigned to the Si-OH stretching vibrations (923  $\text{cm}^{-1}$ ) this band might  
18 be overlapped. A small shoulder found at 860  $\text{cm}^{-1}$  can be assigned to C-O-C vibrations from  
19 the epoxy ring adjacent to  $\gamma$ -GPS [36]. Zr-O vibrations are shown in the low frequency area  
20 between 750-400  $\text{cm}^{-1}$ .

21 To summarize, both spectra show a comparable surface layer on the samples, independent of  
22 the sample treatment. Both preparation methods show a successful deposition of  $\text{ZrO}_2$  and  $\gamma$ -  
23 GPS on the surface. Furthermore, the Si-O-Si vibration bands indicate a linkage and reaction  
24 of  $\gamma$ -GPS forming a thin solid film on the steel surface. Otherwise a reaction between the  
25 silane molecules and  $\text{ZrO}_2$  could not be shown and has to be investigated by different

1 methods. However, there are significant differences in the intensities of the vibration bands  
2 obtained in both spectra. The intensities of vibrations related to  $\gamma$ -GPS are decreased on  
3 sample VBH-S, confirming the XPS measurements showing a lower amount of the silane  
4 coupling reagent on this sample. Furthermore,  $ZrO_2$  related bands are much more intensive on  
5 this surface.

6 In order to visualize the lateral distribution of  $ZrO_2$  and  $\gamma$ -GPS on the sample surfaces,  
7 complementary Raman imaging studies were performed. Fig. 5 shows the recorded Raman  
8 spectra of the two different prepared samples.

9 The spectra generally provide the same information like the infrared spectra. Vibration bands  
10 assigned to  $ZrO_2$  occur in the spectral region between  $650\text{-}300\text{ cm}^{-1}$ . The Raman spectrum of  
11 sample VBH-S reveals a broad vibration between  $700\text{-}250\text{ cm}^{-1}$  with several peaks at 376,  
12 421, 492 and  $547\text{ cm}^{-1}$  that indicate a  $ZrO_2$  film on the surface (s. fig. 5). Such a broad peak  
13 was also recorded by Keramidas et al. measuring different polymorphic states of  $ZrO_2$  [46].  
14 Depending on the temperature treatment,  $ZrO_2$  adopts either a tetragonal ( $200^\circ\text{C} - 1000^\circ\text{C}$ ) or  
15 a monoclinic (over  $1000^\circ\text{C}$ ) crystal structure. Since the sample preparation is carried out at  
16 ambient temperature, the  $ZrO_2$  layer is predominantly amorphous and only a few peaks can be  
17 identified in the lower frequency range. The intensity of vibrations assigned to  $\gamma$ -GPS are low  
18 resolved on VBH-S, but peaks according to C-H vibrations in the area of  $3000\text{-}2800\text{ cm}^{-1}$  and  
19 Si-O related vibrations between  $1250 - 1000\text{ cm}^{-1}$  were detected. On the samples coated in a  
20 1-step process (VBH-E), stronger peaks in the range of  $1500 - 750\text{ cm}^{-1}$  were observed,  
21 which can be attributed to the silane coupling reagent. Bands at  $2926\text{ cm}^{-1}$  and  $2893\text{ cm}^{-1}$  can  
22 be assigned to C-H vibrations and peaks at  $1445\text{ cm}^{-1}$  and  $1300\text{ cm}^{-1}$  to C-C vibrations of the  
23  $\gamma$ -GPS. A broad band between  $1250$  and  $1000\text{ cm}^{-1}$  most likely results from Si-O and/or C-C  
24 vibrations.

1 To investigate the deposition behaviour of  $\text{ZrO}_2$  and  $\gamma$ -GPS depending on the preparation  
2 method, definite area scans on a scanning frame of  $10 \times 10 \mu\text{m}$  (100 lines per image, 100  
3 points per line) were performed on each sample surface. In a stepwise raster the microscope is  
4 recording  $100 \times 100$  Raman spectra in the scanning frame that allow a visualization of surface  
5 adherent compounds in accordance with the intensity of their vibrational bands. The intensity  
6 of the observed bands can be represented in different colour levels, which are calculated from  
7 the area under the considered band. Brighter areas in the image correspond to a higher  
8 intensity of the observed band while dark areas show lower intensities. A detailed view on  
9 this analysis method can be found in the work of Dieing et al. [47].

10 Microscopic images of the sample surfaces including the performed Raman imaging scans are  
11 illustrated in fig. 6. To visualize the reacted  $\gamma$ -GPS and  $\text{ZrO}_2$  specific vibration areas of both  
12 substances were used. In  $\gamma$ -GPS the C-H related stretching vibrations cause the most intense  
13 bands in the Raman spectra, therefore the range between  $3000\text{-}2800 \text{ cm}^{-1}$  was used for  
14 imaging this substance. For  $\text{ZrO}_2$  the area of  $550\text{-}350 \text{ cm}^{-1}$ , which includes the related  
15 vibrations, was chosen.

16 The spectra collected on sample VBH-S (s. fig. 6 left) reveal a well distributed film of  $\text{ZrO}_2$   
17 and  $\gamma$ -GPS on the surface. A few areas show a higher intensity (=brighter colour) of  $\text{ZrO}_2$   
18 related vibrations according to the formation of  $\text{ZrO}_2$  agglomerations. Concerning the  
19 intensity of the C-H related vibrations of  $\gamma$ -GPS, the silane film also seems to be  
20 homogenously coated onto the  $\text{ZrO}_2$  coating.

21 Sample VBH-E shows different deposition behaviours of  $\gamma$ -GPS and  $\text{ZrO}_2$ . The intensity of  $\gamma$ -  
22 GPS is higher compared to that of the VBH-S sample, but it also shows higher intensity  
23 variations in the calculated image. Such variations indicate a worse distribution and less  
24 homogenous deposition of  $\gamma$ -GPS and have also been observed in the Raman images of  $\text{ZrO}_2$   
25 on this sample. Overall the  $\text{ZrO}_2$  vibrations have lower intensities (s. fig. 6 right) compared to

1 the bands obtained on VBH-S. Dark zones in the image of ZrO<sub>2</sub> show less coated areas, but  
2 actually reveal a high intensity of  $\gamma$ -GPS related bands as seen by the corresponding image of  
3  $\gamma$ -GPS.

4 Summarizing, the preparation method was found to have a significant influence on the surface  
5 layer characteristics of the resulting samples. Surfaces coated in a 2-step process reveal a  
6 more homogenous distribution of ZrO<sub>2</sub> and  $\gamma$ -GPS than in 1-step processes, which most likely  
7 results from the batched preparation method. At first the sample was immersed in a H<sub>2</sub>ZrF<sub>6</sub>  
8 solution to form a ZrO<sub>2</sub> layer and after that in a  $\gamma$ -GPS solution. Actually there is no  
9 competitive reaction between the silane molecules and H<sub>2</sub>ZrF<sub>6</sub> since ZrO<sub>2</sub> is firstly coated  
10 onto the surface. This results in homogeneously distributed films in both preparation steps.

11 The Raman images of sample VBH-E show, that a competitive reaction between H<sub>2</sub>ZrF<sub>6</sub>,  $\gamma$ -  
12 GPS and the sample surface occurs when using a 1-step preparation method with both  
13 substances simultaneously. This leads to primarily ZrO<sub>2</sub> or  $\gamma$ -GPS coated areas.

### 14 *3.2 HR-SKP investigations of cathodic delamination on multilayer samples*

15 The height-regulated Kelvin probe is a well-established space-resolved method for  
16 investigating the barrier properties of conversion layers or pre-treatments, organic coatings  
17 and multilayer films [3,22,28,32]. The delamination mechanism of organic coatings consists  
18 of two part reactions. These are locally separated, namely the cathodic oxygen reduction  
19 (ORR, local cathode) and - in the case of zinc alloy coatings - the anodic zinc dissolution  
20 reaction (local anode). The ORR starts for example at a mechanical defect of the organic  
21 coating, while the corresponding zinc dissolution occurs on places where the organic coating  
22 is completely delaminated. The ORR generates bond breaking reactive radicals and a  
23 subsequent alkalisiation of the interface between the organic coating, conversion layer and the  
24 metallic interface. The loss of adhesion in this interface area finally results in a complete

1 delamination of the organic coating [32]. The conversion layer stabilizes the interface  
2 between the metallic substrate and the organic coating by retarding the electron transfer  
3 kinetic of the ORR. A more detailed description of the cathodic delamination mechanism can  
4 be found in an essay from Klimow et al. [32].

5 The local cathode of the ORR at the delamination front and the local anode of the zinc  
6 dissolution can be detected by space-resolved potential measurements via SKP. The local  
7 cathode corresponds to a more positive potential compared to the local anode. Thereby the  
8 delamination front of the organic coating is characterized by an s-shaped potential gradient.  
9 The time-dependent shift of its point of inflexion is used to evaluate the delamination velocity  
10 ( $v_{\text{Del}}$ ). By a linear adjustment of the time-dependent front position  $v_{\text{Del}}$  of the organic coating  
11 can be determined in  $\mu\text{m/h}$  [22,28].

12 *In situ* HR-SKP measurements were performed with particularly (or freshly prepared??)  
13 prepared samples (see 2.32). All measurements were made at a relative humidity of  $> 90\%$   
14 r.H. in the SKP-chamber (see 2.3). For a better classification of corrosion protection of  
15 various pre-treatments, different alkaline cleaned references were chosen – one without any  
16 coatings and another one without pre-treatment but with organic coating (clear-coat).  
17 Compared to the complete uncoated reference with a Volta potential difference (“potential”)  
18 dominated by a Zn-oxyhydroxide layer, the potential at the intact area of the clear-coat has  
19 been shifted cathodically. This shift of about 250 mV can be explained by the barrier effect of  
20 the organic coating [32].

21 In Fig. 7a the line-scans of the clear-coated reference without pre-treatment are plotted and  
22 the electrochemical coupling of the defect with the intact area of the clear-coat is evident.  
23 While the potential is determined in the defect area by the anodic zinc dissolution  
24 (approximately  $-700 \text{ mV}_{\text{SHE}}$ ), the cathodic ORR and the surface chemistry (Zn-  
25 oxyhydroxides, organic coating) determine the potential at the delamination front and at the

1 intact area respectively (up to - 250 mV<sub>SHE</sub>). Thus the potential difference between the defect  
2 and intact area is approximately 450 mV and the average delamination velocity is 371 ± 55  
3 μm/h.

4 In samples pre-treated with a Zr-based conversion layer (ZrO<sub>2</sub>) the potential at the intact  
5 clear-coated area is -650 mV<sub>SHE</sub>. Thus the potential difference between defect and intact area  
6 is merely 150 and 200 mV and hence up to 300 mV smaller compared to the reference  
7 without pre-treatment (Fig. 7b). Also the average delamination velocity is significant lower.  
8 Various working groups show that the height of this potential difference determines the  
9 delamination velocity decisively [22,32]. This means that the electron transfer reactions at the  
10 defect area (zinc dissolution) and at the delamination front (ORR) could be successfully  
11 retarded by the electronic insulating properties of the ZrO<sub>2</sub>-conversion layer.

12 However, for a targeted optimization of a Zr-based conversion layer, it is essential to identify  
13 the critical interface of delamination. As a consequence, the clear coat has been directly  
14 removed after the delamination experiments and examined by XPS. In addition, the surface of  
15 the delaminated metallic substrate was investigated. The comparison of the Zr3d element  
16 spectra in Fig. 8b shows that the conversion layer remained intact on the metallic substrate.  
17 This proves that the delamination of the clear coat occurred at the interface between  
18 conversion layer and organic coating. Thus the Zr-based conversion layer does not achieve a  
19 stable chemical bonding between the clear coat and the metallic substrate. It is assumed that  
20 the bonding between the hydroxyl groups of the conversion layer and the organic coating are  
21 only based on weak intermolecular interactions. Hence the electrolyte can penetrate through  
22 the organic coating to the conversion layer interface followed by a wet de-adhesion of the  
23 organic coating. Similar observation were described by Wapner et al. on modified  
24 adhesive/SiO<sub>x</sub>-interfaces [28,31].

1 By modification the conversion layer with an organofunctional silane, the interface is  
2 stabilized against wet de-adhesion. Surface analytical investigations clearly showed that  
3 sample VBH-S has a defined layer structure with suitable functional groups. The adhesion  
4 promoter ( $\gamma$ -GPS) reacts by condensation of silanol functional groups with the ZrO<sub>2</sub>-  
5 conversion layer and by reaction with epoxy and carboxyl functional groups with the organic  
6 coating during the cross-linking process. SKP measurements reveal the significant decreased  
7 delamination velocity ( $16 \pm 10 \mu\text{m/h}$ , Fig. 8b). Depending on the application procedure the  
8 one-step preparation process (sample VBH-E) leads to a non-homogenous layer structure of  
9 the applied conversion coating. The competitive reaction between the deposition of the  
10 inorganic ZrO<sub>2</sub>-film and the adsorption process of the  $\gamma$ -GPS on the metallic substrate results  
11 in ZrO<sub>2</sub>- and silane-rich domains on the metallic surface. This surface pre-treatment has weak  
12 barrier and adhesion properties. Thus the measured delamination velocity is  $44 \pm 13 \mu\text{m/h}$  and  
13 therefore higher compared to sample VBH-S. For a better overview all delamination  
14 velocities of the different specimens are shown in table 3.

#### 15 **4. Conclusion**

16 The influence of bifunctional organosilanes on Zr-based conversion layers on hot dip  
17 galvanized steel sheets was studied by various surface analytical methods and scanning  
18 Kelvin Probe measurements. Two different preparation methods were compared in order to  
19 investigate the formation of the layer structures. In the two step coating procedure the metallic  
20 substrate was immersed subsequent in the H<sub>2</sub>ZrF<sub>6</sub> conversion solution and the  $\gamma$ -GPS  
21 containing solution, whereas only one coating solution with both hexafluorozirconic acid and  
22  $\gamma$ -GPS was used in the one step coating procedure.

23 The preparation methods significantly influence the surface layer properties of the different  
24 samples as was demonstrated by surface analytical studies. The two step coating procedure  
25 reveals a distinct homogenous distribution of the Zr-based conversion layer and the  $\gamma$ -GPS

1 compared to the one step coating procedure. This can be explained by the absence of any  
2 competitive reaction between the organosilane and the hexafluorozirconic acid with the  
3 metallic substrate during immersion in the conversion solution. In contrast, the one step  
4 coating procedure leads to primarily ZrO<sub>2</sub> or  $\gamma$ -GPS coated areas on the surface as could be  
5 shown by Raman images and XPS depth profiles.

6 *In situ* HR-SKP measurements were conducted to study the delamination properties of the  
7 different prepared conversion layers after subsequent application of an organic coating. It was  
8 clearly shown that the pure inorganic Zr-based conversion layer of the two step procedure  
9 decelerate the electron transfer reaction kinetics at the defect area of the organic coating and  
10 at its delamination front due to the electronic insulating properties of the ZrO<sub>2</sub>-conversion  
11 layer. However, the Zr-based conversion layer does not achieve a stable chemical bonding to  
12 the organic coating (clear coat). As a consequence, the electrolyte can penetrate easily the  
13 interface between the conversion layer and the organic coating and wet de-adhesion of the  
14 polymer occurs ( $v_{Del} = 44 \pm 13 \mu\text{m/h}$ ). By modification the conversion layer with a distinct  
15  $\gamma$ -GPS layer in two step procedure a considerable stabilisation of the interface against wet de-  
16 adhesion could be achieved ( $v_{Del} = 16 \pm 10 \mu\text{m/h}$ ).

17

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10

1 **Table captions**

2 **Table 1:** Composition of the different conversion solutions and the used application  
3 parameters.

4 **Table 2:** Concentration of chemical elements present on the surface of the conversion layer as  
5 determined by XPS.

6 **Table 3:** Delamination velocities of different conversion coatings on coated specimens.

7 **Figure captions**

8 **Figure 1:** Representation of XPS survey spectra (VBH-E, VBH-S).

9 **Figure 2:** Single element XPS depth profile (VBH-S). The Si2p-signal was increased 10  
10 times. Reference sputter rate:  $R(\text{SiO}_2) = 8 \text{ nm/min}$ .

11 **Figure 3:** Single element XPS depth profile (VBH-E). Reference sputter rate:  
12  $R(\text{SiO}_2) = 8 \text{ nm/min}$ .

13 **Figure 4:** IRRA spectra obtained from sample VBH-S and VBH-E in the range of 4000-  
14  $250 \text{ cm}^{-1}$ .

15 **Figure 5:** Raman spectra obtained from sample VBH-S and VBH-E in the range of 4000-  
16  $250 \text{ cm}^{-1}$ .

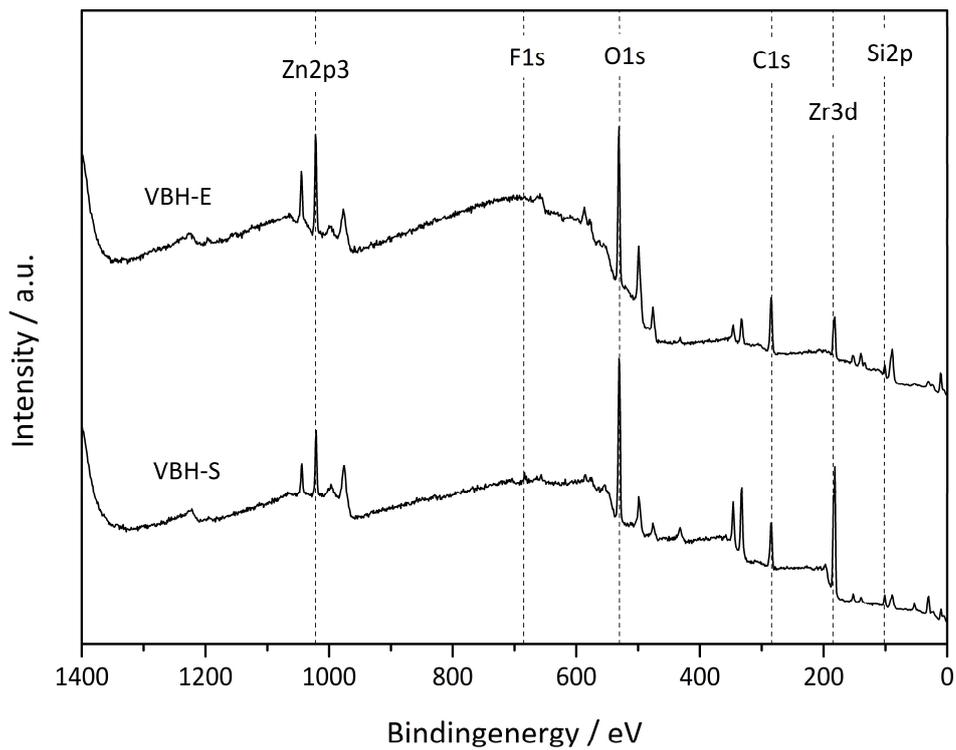
17 **Figure 6:** Optical images obtained through the Raman microscope from sample VBH-S (left)  
18 and VBH-E (right) after deposition of  $\text{ZrO}_2$  and  $\gamma$ -GPS on the surface. The white rectangles  
19 indicate the Raman imaging area carried out on the surfaces. The Raman images show the  
20 distribution of  $\text{ZrO}_2$  (used vibration area at  $550\text{-}350 \text{ cm}^{-1}$ , green) and  $\gamma$ -GPS ( $3000 - 2800 \text{ cm}^{-1}$ ;  
21 red).

1 **Figure 7:** HR-SKP line scans of clear coated samples during the cathodic delamination  
2 process under high humidity. a) uncoated, alkaline cleaned reference; b) ZrO<sub>2</sub> conversion  
3 layer; c) VBH-S; d) VBH-E.

4 **Figure 8:** a) Comparison of XPS survey spectra of various interfaces (metallic substrate, top  
5 and underside of the delaminated clear coat); b) comparison of the XPS Zr element spectra of  
6 various interfaces (metallic substrate, top and underside of the delaminated clear coat).

7

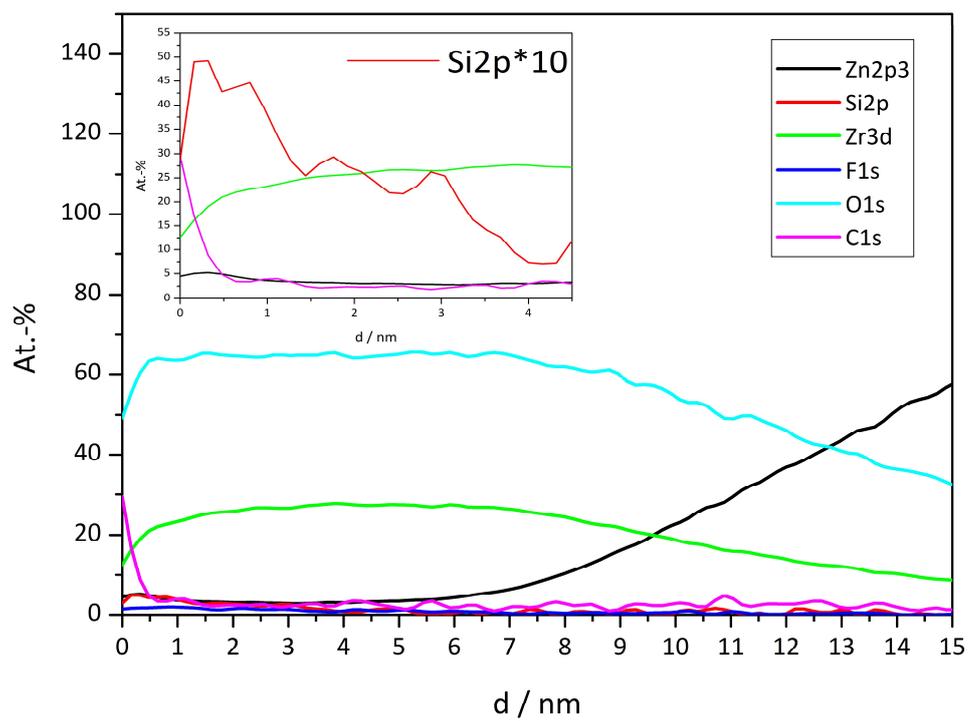
1 **Figure 1**



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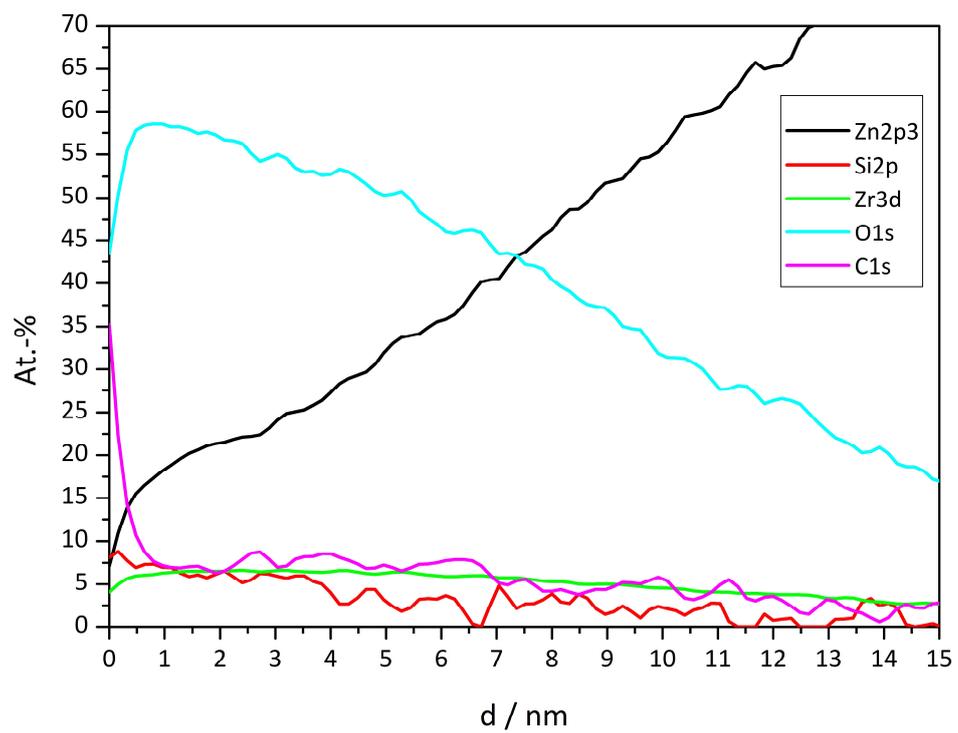
1 **Figure 2**



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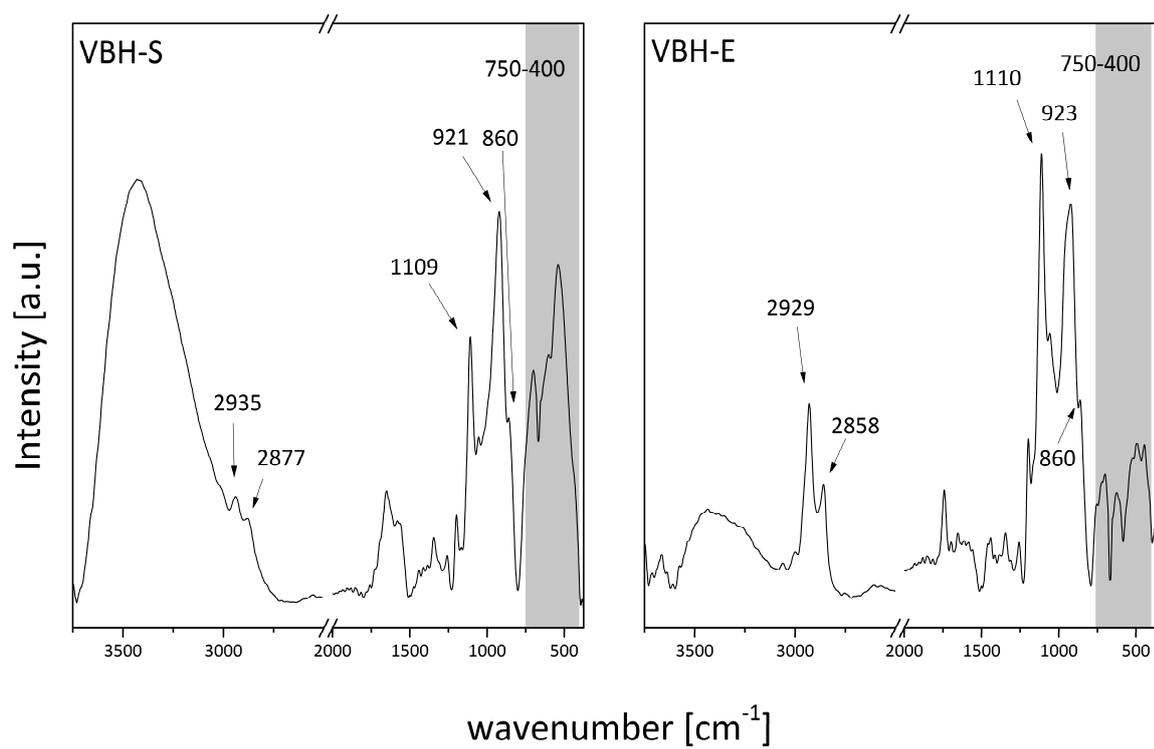
1 **Figure 3**



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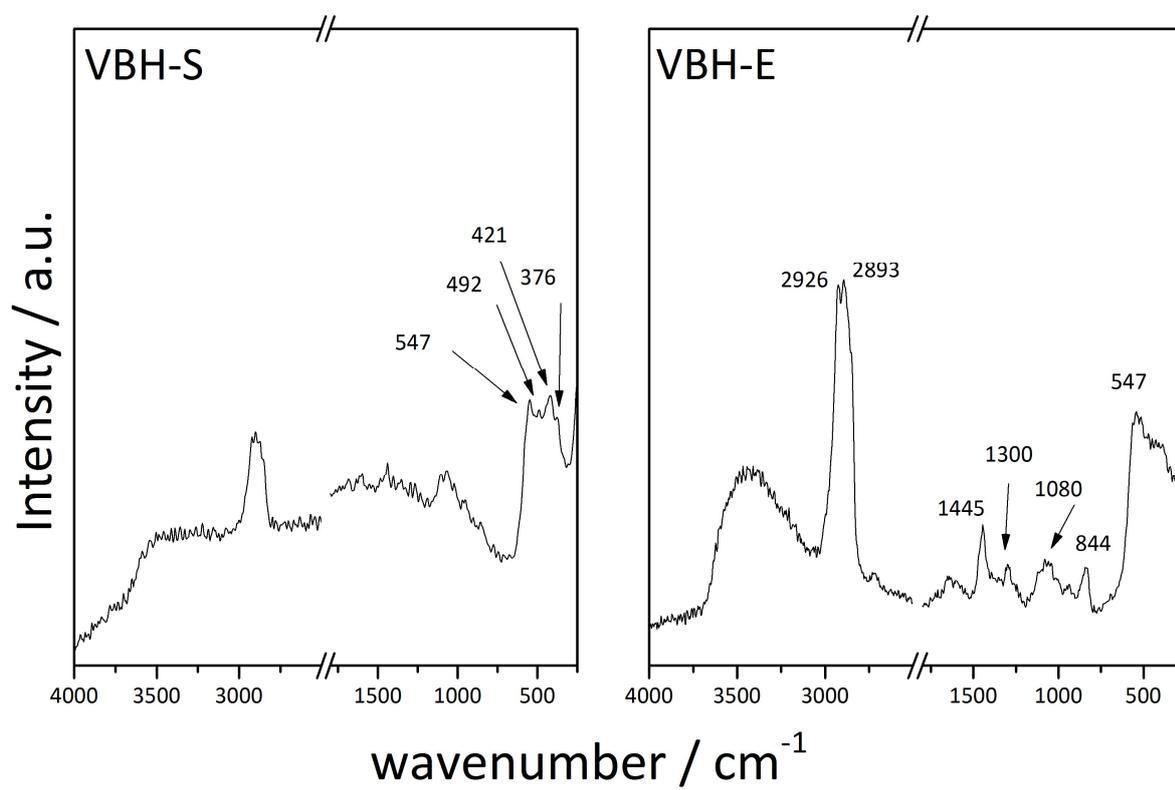
1 **Figure 4**



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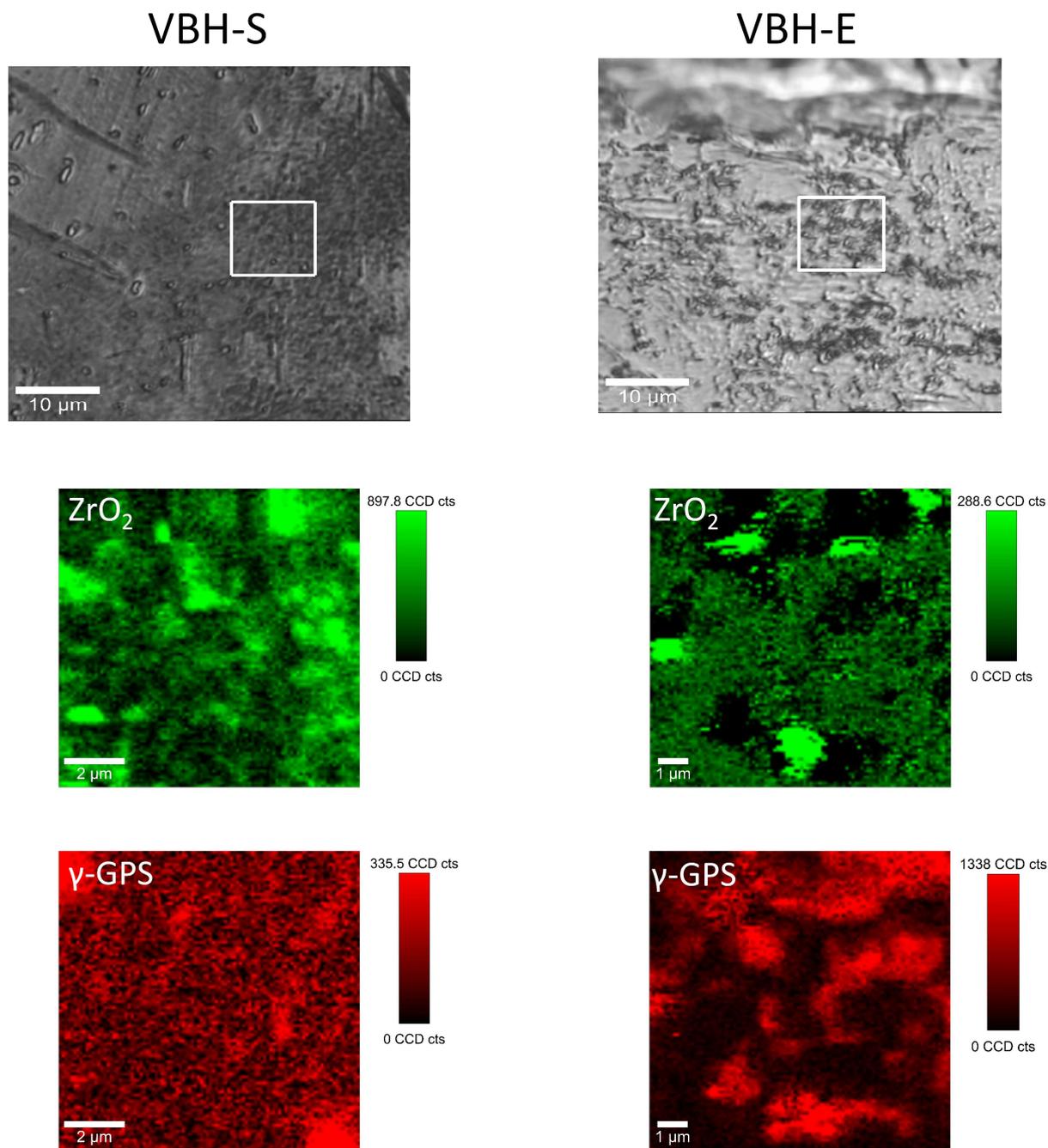
1 **Figure 5**



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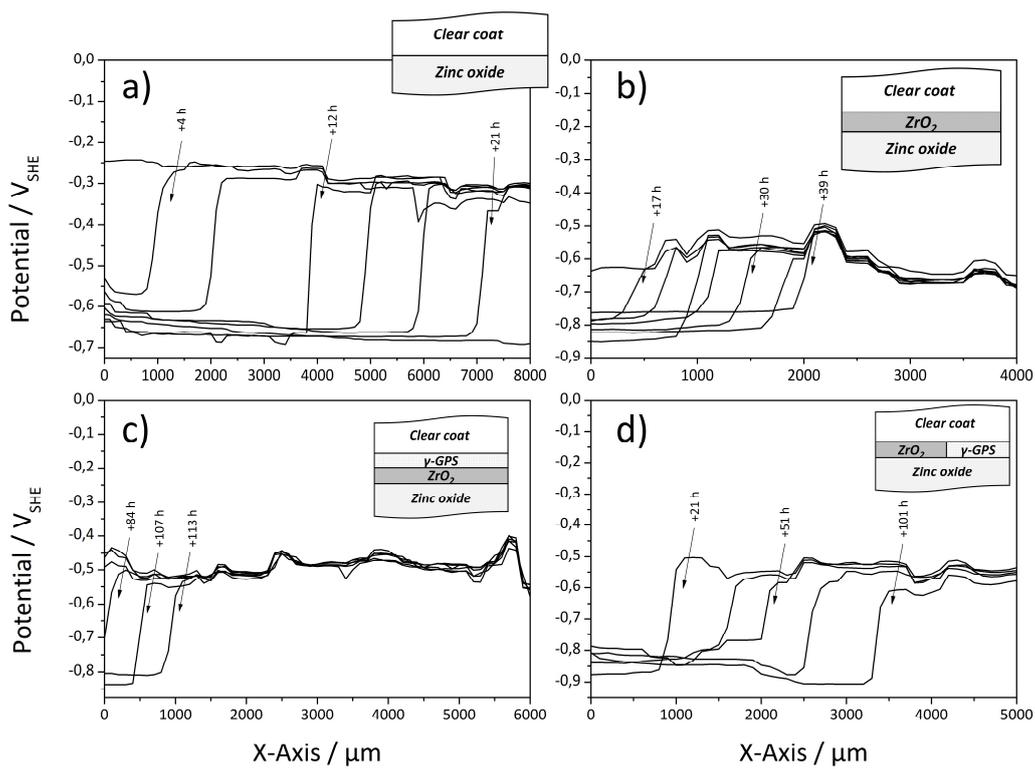
1 **Figure 6**



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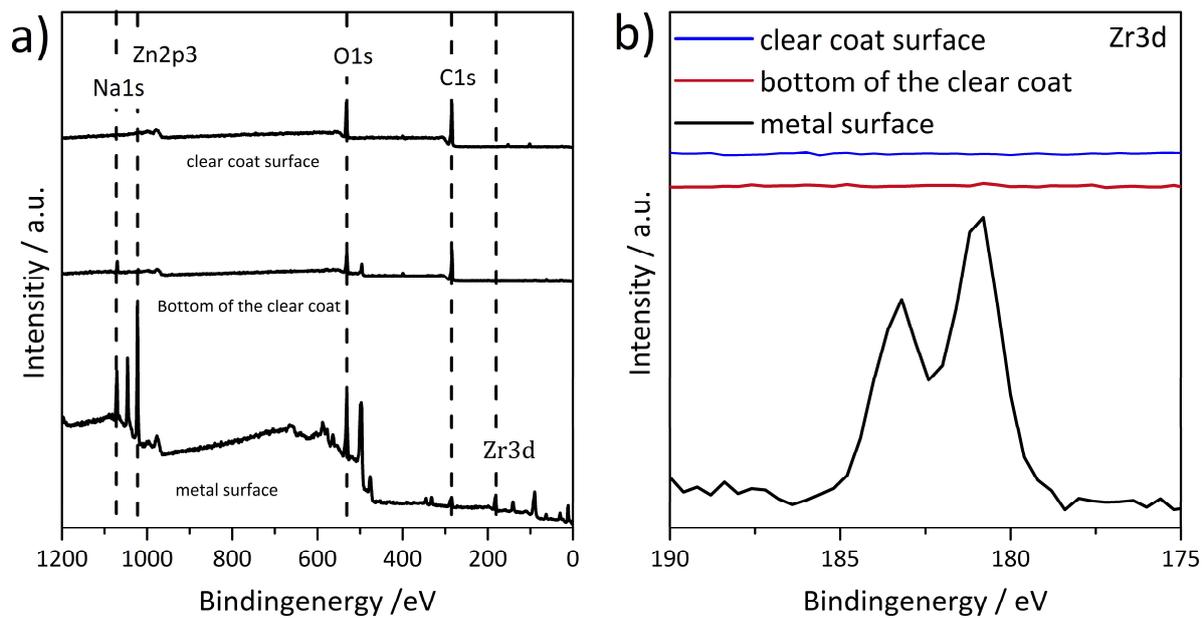
1 **Figure 7**



2

3

1 **Figure 8**



2

3

1 **Table 1**

2

<b>Sample</b>	<b>Conversion solution</b>	<b>pH</b>	<b>Treatment time</b>
<b>ZrO<sub>2</sub></b>	1 mmol/l H <sub>2</sub> ZrF <sub>6</sub>	4	90
<b>VBH-E</b>	1 mmol/l H <sub>2</sub> ZrF <sub>6</sub> + 1 wt. % $\gamma$ -GPS	4	90
<b>VBH-S</b>	Step a) 1 mmol/l H <sub>2</sub> ZrF <sub>6</sub>  Step b) 1 wt.% $\gamma$ -GPS	4	90

3

1 **Table 2**

2

<b>Sample</b>	<b>Element / At.%</b>					
	<b>Zn2p3</b>	<b>Si2p</b>	<b>Zr3d</b>	<b>F1s</b>	<b>O1s</b>	<b>C1s</b>
<b>VBH-S</b>	5	5	16	2	55	17
<b>VBH-E</b>	11	9	5	-	53	22

3

1 **Table 3**

2

<b>Sample</b>	<b>Delamination velocity / <math>\mu\text{m h}^{-1}</math></b>
Uncoated, alkaline cleaned ZnO-Reference	$371 \pm 55$
ZrO <sub>2</sub>	$76 \pm 9$
VBH-S	$16 \pm 10$
VBH-E	$44 \pm 13$

3

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